

COPY OF PAPERS  
ORIGINALLY FILED

Receipt

FILE COPY #19

I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231,  
on June 13, 2002

Attorney Docket No. 02-107010US

RECEIVED

JUL 18 2002

TECH CENTER 1600/2900

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Juliana Hermes  
Juliana Hermes

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Linda A. Castle, et al.

Application No.: 10/004,357

Filed: October 29, 2001

For: NOVEL GLYPHOSATE N-ACETYL  
TRANSFERASE (GAT) GENES

Examiner: Unassigned

Art Unit: Unassigned

REQUEST FOR CORRECTED FILING  
RECEIPT

RECEIVED  
MAR 05 2003  
TECH CENTER 1600/2900

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the applicant's information should read as follows:

**Dan Siehl, Menlo Park, CA**

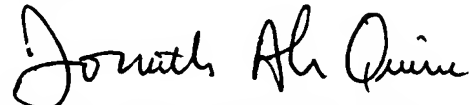
And the assignment for published patent application information should read:

**Maxygen, Inc., Redwood City, CA;**

**Pioneer Hi-Bred International, Inc., Des Moines, IA**

It is believed that no fee is due. If a fee is due, please charge Deposit Account  
No. 50-0893.

Respectfully submitted,

A handwritten signature in cursive script that reads "Jonathan Alan Quine".

Jonathan Alan Quine, J.D., Ph.D.  
Reg. No. 41,261

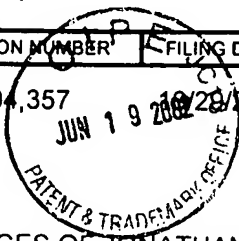
QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.  
P.O. BOX 458  
Alameda, CA 94501  
(510) 337-7871  
Fax (510) 337-7877



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/004,357	10/28/2001	1645	6316	02-107010US	13	256	12



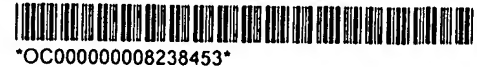
22798  
LAW OFFICES OF JONATHAN ALAN QUINE  
P O BOX 458  
ALAMEDA, CA 94501

**RECEIVED**

JUN 11 2002

CONFIRMATION NO. 3626

UPDATED FILING RECEIPT



\*OC00000008238453\*

Quine Intellectual Property Law Group, P.C.

Date Mailed: 06/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Linda A. Castle, Mountain View, CA;  
Dan Siehl, Menlo Park, CA;  
Lorraine J. Giver, Santa Clara, CA;  
Jeremy Minshull, Menlo Park, CA;  
Cristina Ivy, Los Altos, CA;  
Yong Hong Chen, Foster City, CA;  
Nicholas B. Duck, Apex, NC;

**RECEIVED**

JUL 18 2002

TECH CENTER 1600/2900

**Assignment For Published Patent Application**

Maxygen, Inc., Redwood City, CA;

and Pioneer Hi-Bred International, Inc.

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/244,385 10/30/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 12/31/2001

Projected Publication Date: 09/12/2002

Non-Publication Request: No

Early Publication Request: No

**RECEIVED**

MAR 05 2003

TECH CENTER 1600/2900

**Title**

Novel glyphosate N-acetyl transferase (GAT) genes

**Preliminary Class**

435

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Please type a plus sign (+) inside this box ☐

(Modified) PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	PCT/US01/46227
Filing Date	29 October 2001
First Named Inventor	Linda A. Castle
Group Art Unit	1645
Examiner Name	Unassigned
Attorney Docket Number	02-107010PC

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

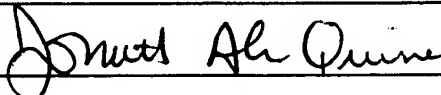
Request for corrected filing receipt and receipt acknowledgment posted

### Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

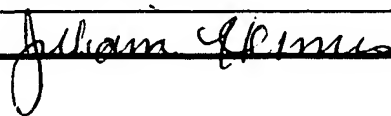
Firm or Individual name	Jonathan Alan Quine, Reg. No. 41,261, Quine Intellectual Property Law Group P.C.		
Signature			
Date	6-13-02		

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 13, 2002

Typed or printed name Juliana Hermes

Signature



Date

June 13, 2002

RECEIVED

JUL 18 2002

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED

MAR 05 2003